

FORM PTO-1390 (REV 1-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 81880.0134
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5) 10/560569
INTERNATIONAL APPLICATION NO. PCT/JP2004/009451	INTERNATIONAL FILING DATE 28 June 2004 (06/28/04)	PRIORITY DATE CLAIMED 26 June 2003 (06/26/03)		
TITLE OF INVENTION Optical Receptacle				
APPLICANT(S) FOR DO/EO/US Hiroki ITO, Tsuyoshi TANAKA and Toshiyuki MATSUMOTO				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>				
Items 11. to 16. below concern document(s) or information included:				
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <ul style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. </p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> Other items or information:</p>				

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/560569	INTERNATIONAL APPLICATION NUMBER PCT/JP2004/009451	ATTORNEY'S DOCKET NUMBER 81880.0134			
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):					
Basic National Fee \$300					
National Stage Search Fee \$400					
National Stage Examination Fee \$200					
		TOTAL FEE AMOUNT = \$ 900			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		\$ 0			
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE	
Total claims	13	20	0	X \$50.00	0
Independent claims	3	3	0	X \$200.00	0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				X \$360.00	0
SIZE FEE 64 (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER)		\$250 for each additional 50 sheets		X \$250.00	0
TOTAL OF ABOVE CALCULATIONS =				900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27. The fees indicated above are reduced by 1/2.					
				SUBTOTAL =	900.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				\$ 0	
TOTAL NATIONAL FEE =				900.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				\$ 40	
TOTAL FEES ENCLOSED =				940.00	
\$				Amt. Refunded	\$
				Amt. charged	

a. Checks in the amounts of \$940.00 and \$40.00 to cover the above fees are enclosed.

b. Please charge my Deposit Account No. 50-1314 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1314. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number **000026021** →

HOGAN & HARTSON L.L.P.
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Lawrence J. McClure

SIGNATURE
Lawrence J. McClure
REG.NO. 44,228
Express Mail Label No. EV 667 736 031 US
Date: December 12, 2005

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

IAP9 Rec'd PCTA 10/560569
12 DEC 2005

PATENT
Attorney Docket No: 81880.0134

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroki ITO et al.

Serial No: Not assigned

Filed: December 12, 2005

For: Optical Receptacle

Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EV 667 736 031 US

Date of Deposit: December 12, 2005

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- two copies of a letter of transmittal
- check in amount of \$ 900.00 as filing fee, search fee and examination fee
- check in amount of \$ 40.00 as assignment recordation fee
- patent application (44 page(s) of specification; 13 claim(s); 1 page(s) of abstract
- 19 sheet(s) of formal drawings
- executed Declaration and Power of Attorney
- assignment of the invention to KYOCERA CORPORATION
- Preliminary Amendment
- Information Disclosure Statement with 7 references
- return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: December 12, 2005

Joanna Alonso

Name of person mailing papers

Hogan & Hartson, LLP
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Facsimile: 213-337-6701


Signature